



# Mahatma Gandhi Antarrashtriya Hindi Vishwavidyalaya

**Ordinance No. 45/2017**

Modified as per UGC (Minimum standard and procedure for award of M.Phil/Ph.D. Degrees) Regulation, 2016

(Approved on 17.07.2018 by Academic Council (28<sup>th</sup> Meeting))

## **Doctor of Philosophy (Ph.D.)**

### **DEFINITIONS :**

Subject to the provisions of The Mahatma Gandhi Antarrashtriya Hindi Vishwavidyalaya Act, 1996 (No. 3 of 1997) (hereinafter referred to as MGAHV Act of 1996), and the Statutes and Ordinances passed thereunder:

1. 'Academic Council' means the Academic Council of Mahatma Gandhi Antarrashtriya Hindi Vishwavidyalaya (hereinafter referred to as the University), as explained under Section-22 of the MGAHV Act of 1996 and Clause-15 of THE SCHEDULE attached to the Act, and shall have the same meaning as 'VIDYA PARISHAD'.
2. 'Board of Studies' (BoS) means Board of Studies of the concerned Department/Centre, as explained under Clause-17 of THE SCHEDULE attached to MGAHV Act of 1996.
3. 'Candidate' means a person who applies for the Ph.D. Programme as per this Ordinance.
4. 'Co-Supervisor' means the second supervisor as mentioned in para-7 of this ordinance.
5. 'Examiner' means an expert for evaluation of the thesis nominated by the Vice Chancellor from the panel of examiners.
6. 'Open Viva-Voce' means viva-voce of the candidate to be conducted after submission and satisfactory report of the thesis and before the award of the Ph.D. degree, and which may be witnessed by anyone in the University.
7. 'Panel of Examiners' means a set of six examiners proposed by the supervisor for evaluation of the thesis.
8. 'Ph.D.' means Doctor of Philosophy.
9. 'Pre-Ph.D. Programme' means a course-work to be pursued prior to the pre-registration seminar by the candidates who do not hold an M.Phil Degree.
10. 'Pre-registration Seminar' includes a written submission and presentation on the research proposal, to be given by the candidate before registration of the topic.
11. 'Pre-submission Seminar' includes a written submission and presentation based on findings of the research work to be given by the research scholar before submission of the thesis.
12. 'Research Advisory Committee' (RAC) means Research Advisory Committee as mentioned in para-12.1 of this Ordinance.
13. 'Research Scholar' means the candidate who has been granted admission to the Ph.D. Programme and thereafter registered for the same.
14. 'Review of Literature' means study, review and analysis of the literature or material related to the field of research of the research scholar.
15. 'School Board' (SB) means the School Board of each School of the University.
16. 'Supervisor' means the principal supervisor who monitors and guides the candidate.

## **PROVISIONS:**

### **1. PH.D. PROGRAMME:**

- 1.1. The Doctoral (Ph.D.) Programme shall be a regular and residential programme.
- 1.2. Notwithstanding anything contained in this Ordinance, the University shall not conduct Ph.D. Programmes through distance education mode.
- 1.3 Ph.D. programme is for 100 credits (excluding the Course Work). Distribution of credits is as below:
  - a. Thesis Writing: 60 credits
  - b. Viva-Voce: 20 Credits
  - c. Research Associateship: 10 Credits (awarded by Supervisor)
  - d. Teaching Associateship: 10 Credits (awarded by Supervisor and HOD jointly)

### **2. ELIGIBILITY:**

- 2.1 Master's degree or a professional degree declared equivalent to the Master's degree (in concerned subjects) by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.

A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled ('Divyang') and other categories of candidates as per the decision of the University Grants Commission (UGC) from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

- 2.2 A person pursuing M Phil. Course in this University whose dissertation has been submitted and the result or viva-voce is pending may be admitted to the Ph.D. Programme of this University.
- 2.3 Candidates possessing a Degree considered equivalent to M.Phil. Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. Programme.
- 2.4 There shall be no upper age limit for any category to apply for admission to Ph.D. Programme.

### 3. ADMISSION PROCESS:

- 3.1 Candidates for Ph.D. Programme shall be admitted through an Entrance Test.
- 3.2 Predetermined and Manageable number of Seats for Ph.D. shall be decided on an annual basis (in accordance with clause no. 6.2) through academic bodies depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the candidate-teacher ratio, laboratory, library and such other facilities; The seats advertised shall be in accordance with specialization of the supervisors and/or the thrust area of the Department/Centre concerned.
- 3.3 Number of seats (with reservation break-up) shall be notified well in advance at the University website and through advertisement in maximum number of newspapers, out of which minimum one newspaper must be Hindi national daily and one Marathi (the regional language where the university is situated). The advertisements shall also include the number of seats for admission, subject/discipline-wise distribution with specialization/thrust area for available seats, criteria and procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.

### 4. ENTRANCE TEST :

Candidates shall be admitted in Ph.D. Programme by a three stage admission process.

#### 4.1 Stage- I : Screening

(a) Screening of applications shall be done by Departmental Admission Committee as given below;

- |       |  |             |
|-------|--|-------------|
| (i)   | Head of the Department/Director of the Centre:                           | Chairperson |
| (ii)  | All Faculty members in the Department:                                   | Member      |
| (iii) | One SC/ST nominee (if not represented )<br>nominated by Vice-Chancellor: | Member      |

(b) The Screening Committee shall examine minimum qualifications of the candidates as prescribed under clause- 2.

#### 4.2 Stage- II : Written Test

The total marks for written test shall be 100. Qualifying marks shall be 50 percent in the written test (45% for SC/ST/OBC-NCL/PWD). The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The pattern of written test shall be as adopted by the University for Semester Examination.

The Entrance Test shall be conducted at the centre(s) notified in advance (changes of centres, if any, shall also be notified well in advance.)

#### 4.3 Stage- III : Interview & Presentation

(a) Interview & Presentation of Research Proposal of 25 Marks shall be conducted by the Departmental Admission Committee as follows:

- |       |   |               |
|-------|---|---------------|
| (i)   | Head of Department/Director of the Centre           | : Chairperson |
| (ii)  | All supervisors in the Department/Centre            | : Member      |
| (iii) | One SC/ST nominee nominated by the Vice-Chancellor: | Observer      |

(b) A Research Proposal (not more than 3000 words in standard format) shall be presented before the admission committee at time of interview by the concerned candidate.

#### **STANDARD FORMAT FOR RESEARCH PROPOSAL**

1. Title
2. Introduction & the Problematization
3. Objectives
4. Review of Literature
5. Hypothesis (if any)
6. Methodology
7. Research Design
8. Relevance
9. Proposed Chapterization
10. References/Bibliography

The committee shall consider the following aspects :

- (i) Competence of the candidate for the proposed research.
- (ii) Contribution of proposed research work to new/additional knowledge.
- (iii) Facilities for research available at the University.

#### **4.4 Preparation of Merit List for Admission**

- (a) The Merit List for Admission to Ph.D. Programme shall be prepared based on marks obtained by the candidate in written test and interview and presentation by the Examination Department.

#### **4.5 Maintenance of the list of Research Scholars**

- (a) The University shall maintain the list of all the Ph.D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor and date of enrolment/registration.
- (b) Admission of the candidate shall be provisional till the date of registration.
- (c) The date of joining shall be the date of admission.

#### **5. RESERVATION:**

- 5.1 The guidelines of the University Grants Commission (UGC) and the directions of the Ministry of Human Resource Development (MHRD) issued from time to time with regard to reservation for SC/ST/OBC (NCL)/PWD ('Divyang') in Ph.D. admissions shall be applicable automatically. Presently-
  - (a) The reservation applicable for SC/ST/OBC is fifteen percent, seven point five percent and twenty seven percent respectively.
  - (b) The reservation for persons with benchmark disabilities shall be five percent. The seats for PWD shall be allotted from their respective categories. Interchangeability of seats shall be permitted within PWD category only.
- 5.2 Percentage of reservation shall be applied on total number of seats in the Department/Centre and vacant seats shall be advertised accordingly.
- 5.3 Interchangeability of seats among SCs and STs shall be applicable as per rules.
- 5.4 In case no eligible candidate from the reserved categories is available, the vacant seats in the reserved quota shall not be filled by any non SC/ST & OBC candidates.

## **6. DURATION:**

- 6.1 Ph.D. Programme shall be for a minimum duration of Three (3) years, including course work, and a maximum of Six (6) years.'
- 6.2 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of Two (2) years in the maximum duration for Ph.D.

## **7. COURSE WORK:**

### **Credit requirements, number, duration, syllabus, minimum standards for completion, etc.:**

- 7.1 The total credits assigned to the Ph.D. course work shall be 16 credits. However any Department/Centre of the university may opt a course of four additional credits.
- 7.2 Duration of course work shall be one semester.
- 7.3 The course work shall be treated as prerequisite for Ph.D. Programme . A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for Ph.D. degree. Credit distribution of Course Work shall be as follows:

(i) Research Methodology:	08 Credits
(ii) Computer Applications:	04 Credits
(iii) Subject Specific Paper:	04 Credits
(iv) Optional (As per clause-7.1):	04 Credits

- 7.3 All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods.
- 7.4 All candidates admitted to the Ph.D. Programmes, except those having successfully completed M Phil. Course, shall be required to complete the Ph.D. course work prescribed by the Department/Centre for the registration.
- 7.5 The aforesaid Ph.D. course work shall be required to be completed within the initial two semesters.
- 7.6 Grading system adopted by the University shall be followed for examination. Grades in the course work, including research methodology courses shall be finalized as per the provisions of the examination system adopted by the University.
- 7.7 A Ph.D. candidate has to obtain a minimum of 55% of marks or its equivalent grades in the course work in order to be eligible to continue in the programme and submit the thesis.

## **8. RESEARCH SUPERVISOR:**

- 8.1 The Board of Studies of the Department/Centre shall have power to recognize a regular teacher of the university as research supervisor.
  - (a) Any regular Professor of the University with at least 05 (Five) research publications in refereed/UGC approved journals in concerned disciplines and any regular Associate/Assistant Professor of the University with a Ph.D. degree and at least (2) two

research publications in refereed/UGC approved journals in concerned disciplines may be recognized as Research Supervisor.

(b) Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

(c) Only a full time regular teacher of the concerned Department of the University can act as a supervisor. The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the University or from other related institutions in consultation with the Research Advisory Committee.

8.2 The allocation of Research Supervisor for a selected Candidate shall be done by the BoS concerned.

8.3 In case of topics which are of inter-disciplinary nature where the BoS concerned feels that the expertise in the Department has to be supplemented from outside, the BoS may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/School/University on such terms and conditions as may be specified and agreed upon by the consenting Institution/University.

#### **9. NUMBER OF Ph.D. SEATS UNDER A SUPERVISOR**

A Research Supervisor/Co-Supervisor who is a Professor, at any given point of time, cannot guide more than Eight (8) Ph.D. Research Scholars. An Associate Professor as Research Supervisor can guide up to a maximum of Six (6) Ph.D. Research Scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of Four (4) Ph.D. Research Scholars.

#### **10. TRANSFER OF A CANDIDATE AND DATA**

In case of relocation of a Ph.D. woman candidate due to marriage or otherwise, the research data shall be allowed to be transferred to the University/Institution to which the candidate intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The candidate will however give due credit to the parent supervisor and the institution for the part of research already done.

#### **11. CHANGE OF SUPERVISOR**

The BoS shall have the power to change Supervisor:

11.1 Under extra-ordinary circumstances the BoS may change the supervisor of the research scholar on recommendation of the Department/Centre.

11.2 However, change of Supervisor shall not be permitted after the completion of one year from the date of registration.

11.3 If the performance of a research scholar is not good in view of the supervisor, she/he may recommend to the BoS through HoD/DoC for change of supervisor of the Research Scholar within one year of registration with reasons in writing.

## 11. RESEARCH COMMITTEES:-

Subject to the general supervision of the Academic Council all matters pertaining to the Ph.D. Programme of the University shall be dealt in accordance with the Statutes and Ordinances by the following committees:

### 12.1 THE RESEARCH ADVISORY COMMITTEE (RAC):

There shall be a Research Advisory Committee to monitor the research work of the Research Scholar as given below :

- (i) A Professor of the Department/School nominated  
by the Vice-Chancellor other than the HoD : Chairperson
- (ii) Head of the Department concerned : Member
- (iii) One faculty member from the discipline/  
related discipline from the panel of two names  
proposed by Supervisor, nominated by  
the Vice-Chancellor : Member
- (iv) Concerned Research Supervisor : Convener

#### 12.1.1 FUNCTIONS OF RAC

(a) A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her research work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the Board of Studies with a copy to the research scholar.

(b) In case of the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons and suggest corrective measures. If the research scholar fails to implement these corrective measures within six (06) months, the Research Advisory Committee may recommend to the Board of Studies with specific reasons for cancellation of the registration of the research scholar.

(c) RAC meeting shall be held once in a semester or whenever required.

#### 12.2 THE BOARD OF STUDIES (BoS) :

There shall be a Board of Studies for every Department/Centre of the University as given below :

- (i) Head of the Department/ Director of the Centre concerned : Chairperson
- (ii) All Professors of the Department/Centre: Member
- (iii) One Associate Professor from the Department/ Centre  
by rotation of seniority: Member
- (iv) One Assistant Professor from the Department/ Centre  
by rotation of seniority : Member
- (v) One Alumni from the Department/Centre from the panel consisting  
of minimum two members submitted by the HOD/DOC and  
nominated by the Vice-Chancellor : Member
- (vi) Two external subject experts from the panel consisting of five  
members submitted by the HoD/DoC and nominated  
by the Vice-Chancellor: Member

*\* The tenure of the members (iii to vi) shall be two years. Three members shall form a quorum out of which one external member shall be compulsory.*

### 12.2.1 FUNCTIONS OF THE BOARD OF STUDIES (BOS) REGARDING RESEARCH:

- (a) To recognize and to appoint Research Supervisors.
- (b) To approve Research Topics.
- (c) To change/modify the Research Topic.
- (d) To take necessary measures for development of research in the Department/Centre.
- (e) BOS meeting shall be held twice in an academic year in the months of August and February.

### 12.3 THE SCHOOL BOARD (SB) :

Every school shall have a School Board as given below;

- |  |             |
|--|-------------|
| (i) Dean of the School :   | Chairperson |
| (ii) All HoD/DoC's in the School:  | Member      |
| (iii) All Professors in the School:  | Member      |
| (iv) One Associate Professor from each of the Department/Centre<br>by rotation of seniority:   | Member      |
| (v) One Assistant Professor from each of the Department/ Centre<br>by rotation of seniority :  | Member      |
| (vi) One Alumni from the same school from the panel<br>consisting of minimum two members submitted by the Dean<br>of the School and nominated by the Vice-Chancellor : | Member      |
| (vii) Two external subject experts from the panel consisting of five<br>members submitted by the Dean of the School and nominated<br>by the Vice-Chancellor:           | Member      |

(\* The tenure of the members (iv to vii) shall be for a period of two years. Three members shall form a quorum out of which one external member shall be compulsory.)

### 12.3.1 FUNCTIONS OF SCHOOL BOARD REGARDING RESEARCH:

With overall administration of the school, functions of the School Board shall be:

- (a) To monitor all research and academic activities of the school.
- (b) To review the decisions of BoS and RAC.
- (c) To frame the policies for development of research in the school.
- (d) SB meeting shall be held twice in an academic year in the months of September and March.

### 13. RESIDENCY PERIOD AND ATTENDANCE:

- 13.1 (a) Ph. D. Research Scholars shall be required to be present as resident in the University for not less than three years to pursue full time research **from** the date of Admission. However, the minimum residency period for a research scholar shall be minimum two years from the date of registration of topic.
- (b) The presence of research scholar in the University shall be duly recorded and maintained by the Department/Centre concerned. Ph. D. Research Scholars shall not be permitted to undertake any kind of employment during residency period.
- 13.2 (a) After completion of residency period research scholar may be permitted to take de-registration and she/he shall be allowed to submit Ph.D. thesis within a period of 10 years from the date of admission. Such seats shall be treated vacant from the date of de-registration.
- (b) For the de-registration research scholar shall submit an application to BoS concerned through the supervisor.
- (c) The de-registered research scholar shall have to submit an application within prescribed period for re-registration and submission of thesis. The re-registered research scholar shall have to submit her/his thesis for evaluation within two semesters of re-registration.



#### **14. LEAVE -**

- 14.1 (a) A Ph.D. scholar may avail maximum 30 days of general leave (including medical leave) in a year, in addition to the general leave, academic leave for research field work, seminar, workshop, training programme etc. may also be granted based on the recommendation of the Supervisor concerned.
- (b) If any research scholars avails more than 30 days of general leave, she/he shall be treated as absent for the excess period of leave availed. In such cases BoS may grant 10 days of additional general leave on the application of research scholar recommended by the Supervisor, if the case seems genuine in its opinion. Beyond 10 days of excess general leave, no general leave shall be permitted in any case and the admission/registration of the research scholar shall be automatically cancelled.
- (c) In case, the supervisor is not allotted to a research scholar, all the applications of research scholar shall need to be recommended by the HoD/DoC.
- 14.2 The women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of M.Phil/Ph.D. up to 240 days. However, amendments made by the Govt. of India in respect of Maternity Leave/Child Care Leave shall be applicable automatically.
- 14.3 Any Kind of fellowship and Ph.D. admission of research scholar is liable to be cancelled/legal action against the research scholar in the following cases:-
1. If the research scholar is found to be ineligible to be admitted to Ph.D. programme and to receive the fellowship, at any point of time during the entire duration of the programme and fellowship.
  2. Misconduct of research scholar, such as proven defamation against supervisor concerned and other faculty and authorities of the University; complaint to higher authorities without routing through proper channel - HOD, DEAN and Vice Chancellor
  3. Unsatisfactory progress of research work.
  4. More than one fellowship or any other income is drawn simultaneously.
  5. Concealment of facts and any other ground of misconduct.
  6. As per clause 14.1 (b) of this Ordinance.

#### **15. FELLOWSHIP:-**

- 15.1 Ph.D. research scholars will be provided fellowship as per guidelines of the UGC/funding agencies.
- 15.2 Non-NET fellowship shall commence from the date of admission.
- 15.3 If a research scholar does not complete/submit her/his research work to the University for the Award of degree or leaves the programme, shall have to return total amount of fellowship received to the University. The University shall ensure the measures to recover the amount.

#### **16. RESEARCH PROPOSAL, PRE REGISTRATION SEMINAR AND REGISTRATION OF RESEARCH TOPIC**

- 16.1 Upon satisfactory completion of course work, the candidate with M.Phil. degree or without M.Phil. degree shall present his/her research proposal in pre-registration seminar before the BOS of the department/Centre, the meeting of which shall be held in the month of February of the academic session in which the candidate has been given provisional admission.

- 16.2 After approval of the research topic and supervisor of the candidate by the BOS, the candidate shall be registered for the Ph.D. programme.
- 16.3 The date of approval of BOS shall be regarded as the date of registration.
- 16.4 If a candidate fails to satisfy BOS he/she may be given another opportunity to submit re-research proposal before the BOS of the Department/Centre, the meeting of which shall be held in the month of August of the next academic session.
- 16.5 If BOS finds the candidate's research proposal is non-satisfactory, it shall provide to the candidate suggestions/advice in written.
- 16.6 If the candidate fails to satisfy BOS even in his/her second presentation, his/her provisional admission shall automatically become cancelled, as it is regarded as unsatisfactory work. In this case BOS need to mention in the minutes its dissatisfaction over following areas:-
  - i. Identification of research problem and methodology.
  - ii. Presentation of research proposal.
  - iii. Review of the Literature.

## **17. CHANGE OF RESEARCH TOPIC :**

The BoS shall have the power to change the topic of research:

- 17.1 Under extra-ordinary circumstances the BoS may change the topic of research on request of the research scholar and the consent of the supervisor with duly recorded reasons in writing. The concerned topic may be changed within one year from the date of the registration of topic. **The final approval of the research topic shall be given at the time of pre-submission.**

## **18. PRE-SUBMISSION SEMINAR**

18.1 After completion of research work, prior to a maximum period of three (03) months of submission of the thesis, the candidate shall make a presentation based on the findings of his/her research work before the Research Advisory Committee which shall also be open to all faculty members and other research scholars of the University. The feedback and suggestions made by the Research Advisory Committee in writing shall suitably be incorporated into the draft thesis.

18.2 The supervisor concerned shall submit a certificate to RAC regarding incorporation of suggestions/modification in the draft thesis.

18.3 If the RAC is not satisfied with the presentation made by the research scholar and with quality of the research work, it shall record reasons and the research scholar may be asked to make presentation again within two months before the RAC.

## **19. SUBMISSION OF THE THESIS –**

- 19.1 Ph.D. Research Scholars must publish at least two research papers in refereed journals/UGC approved journals and make two paper presentations in conferences/seminars before the submission of the thesis for adjudication, and produce evidence for the same in the form of presentation certificates and reprints.
- 19.2 The Ph.D. thesis submitted by the scholar shall satisfy the requirement that it is a research work characterized by either the discovery of new facts or by fresh interpretation of facts or theories. It should reveal the scholar's capacity for critical examination, original thinking and critical judgment. Its literary presentation should

also be satisfactory. The thesis must be submitted in the format prescribed by the University.

- 19.3 The thesis shall include a certificate in the format prescribed by the University from the Supervisor and the scholar that it incorporates the scholar's bona-fide research work and it has not been submitted for award of any other degree to this or to any other University or any institute of learning.
- 19.4 The Academic Council of the University shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same University where the work was carried out, or to any other Institution.
- 19.5 The research Research Scholars is required to submit their thesis in following manner-
  - (a) Four spiral binding and soft copies in disc (CDs/DVDs).
  - (b) Four copies of the summary containing findings of research work presented in the thesis.
- 19.6 The HoD/DoC shall forward the thesis to the Department of Examinations for evaluation within one week of the submission of the thesis.

## **20. EVALUATION OF THE THESIS & VIVA-VOCE**

- 20.1 The Ph.D. thesis submitted by a research scholar shall be evaluated by two external examiners, who are not in employment of the University, of whom one examiner may be from outside the country.

For the purpose of appointing the said two external examiners, a list of six proposed examiners (with their contact number, email ID & Postal address), who have expertise in the field associated with the Ph.D. research topic shall be submitted by the concerned Supervisor to the Head of the concerned Department / Director of the Centre within one week after successful completion of the pre submission seminar.

The list of proposed examiners shall be forwarded by the HoD/DoC concerned to the Department of Examinations within one week of the receipt of the said list.

The list of proposed examiners shall be forwarded by the Controller of Examinations to the Vice Chancellor within two weeks of the receipt of the said list.

The Vice-Chancellor shall appoint two examiners for evaluation of the Ph.D. thesis, out of the aforesaid list of the proposed examiners.

- 20.2 The open viva-voce of the research scholar to defend the thesis shall be conducted only if the evaluation reports of both the external examiners on the thesis are satisfactory. The degree of the Ph.D. shall be awarded only after successful completion of Viva-Voce.
- 20.3 If one of the evaluation reports of the external examiner is unsatisfactory, the University shall send the thesis to a third external examiner and the viva-voce examination shall be held only if the report of such third examiner is satisfactory. If

the report of such third examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.

- 20.4 The third examiner for evaluation of the Ph.D. thesis, if necessary as aforesaid, shall be appointed by the Vice Chancellor, from the list of the six examiners originally proposed.
- 20.5 If any external examiner suggests modifications in the thesis the same shall be sent to the same examiner after modifications.
- 20.6 (a) The viva-voce examination shall be conducted by the Viva-Voce Board which shall consist of the following members:
- |   |             |
|---|-------------|
| (i) The Head of the Department/ Director of the Centre:                 | Chairperson |
| (ii) The concerned supervisor:  | Member      |
| (ii) One of the External examiners, who has evaluated the Ph.D. thesis: | Member      |
- (b) For this purpose the list of the two evaluators shall be forwarded by the Controller of Examinations to the Vice Chancellor, who shall appoint one of the evaluator, with his/ her consent, to be on the Viva- voce Board.
- 20.7 After the construction of Viva-Voce Board it shall be communicated by the Controller of Examinations to the Head of the concerned Department (HoD)/Director of the concerned centre (DoC), who shall complete other formalities including fixing the date for the conduct of the Viva-voce *Examination* in consultation with the other members of the Viva- voce Board. Such date for the conduct of the aforesaid examination shall be finalized within one month of the receipt of the final list of the members of the Viva- Voce Board by the concerned HoD/DoC.
- 20.8 The Viva-Voce examination shall be open to be attended by all faculty members of the Department, research Research Scholars and other interested faculty members, experts/researchers of the university and outside the University.
- 20.9 The report of the Viva-Voce Examination shall be forwarded by the HoD/DoC to the Controller of Examinations on the same/next working day of the conduct of the Viva- Voce Examination. The result of such Viva- Voce Examination shall be declared by the Controller of Examinations within one week of the receipt of such report.
- 20.9.1 The scholar shall be provided the reports of the thesis if there are any corrections or modifications suggested.
- 20.9.2 Three hard bound copies of the thesis with soft copies (in CDs/DVDs) shall be submitted after completion of the Viva-voce examination. Before binding the thesis the scholar shall have to make corrections in the thesis suggested by the examiners in their reports and also incorporate the suggestions in his/her thesis made at the time of viva-voce within one month. The thesis submitted by the scholar shall be forwarded by the HoD/DoC to the Controller of examinations within one week of submission of the thesis to the Department/Centre for further processing.
- 20.10 If a research scholar does not secure minimum fifty five percent of total credits, she/he shall not be awarded the Ph.D. degree.

## **21. LANGUAGE –**

- 21.1 The Language of the thesis and summary shall be ‘Hindi’, written in ‘Devnagari’ script.
- 21.2 The summary of the thesis shall be submitted in Hindi and English both.

## **22. Award of Ph.D. degrees or degrees awarded by foreign Universities prior to Notification/implementation of UGC (Minimum standard and procedure for award of M.Phil/Ph.D. Degrees) Regulation, 2016 :**

- 22.1 Award of degrees to the research Research Scholars registered for the Ph.D. Programme on or after July 11, 2009 till the date of implementation of the *UGC (Minimum standard and procedure for award of M.Phil/Ph.D. Degrees) Regulation, 2016* by the University shall be governed by the provisions of the *UGC (Minimum Standards and procedure for Awards of M.Phil/Ph.D. Degree) Regulation, 2009*.
- 22.2 If the Ph.D. degree is awarded by a Foreign University, the University considering such a degree shall refer the issue to the Equivalence Committee constituted by the University, for the purpose of determining the equivalence of the degree awarded by the foreign University.
- 22.3 Prior to the actual award of the degree, University shall issue a provisional certificate to the effect that the Degree has been awarded in accordance with the provisions of *UGC (Minimum standard and procedure for award of M.Phil/Ph.D. Degrees) Regulation, 2009/2016* (whichever is applicable).
- 22.4 Provisional certificate shall be issued by Department of Examinations only after submission of hard copies of the thesis with soft copies (in CDs/DVDs) under clause 22.9.2

**23.** The University shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of submission of the thesis.

**24.** The University shall develop a proper format for the evaluation of the thesis by the examiner(s).

## **25. DEPOSITORY WITH INFLIBNET:**

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the University shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.

## **26. APPLICABILITY OF THIS ORDINANCE**

- 26.1 This ordinance shall replace the earlier ordinance accepted by Academic Council in its 25<sup>th</sup> meeting held on 01/02/2017 and shall be applicable from the academic season 2017-18.
- 26.3 However, all the research Research Scholars admitted to Ph.D. Programme before academic session 2017-18 shall be governed by the previous prevailing ordinance (Approved on 14/03/2015 by Academic Council) in accordance with provisions of *UGC (Minimum Standards and procedure for Award of M.Phil/Ph.D. Degrees) Regulation, 2009*.

**27. REMOVAL OF DIFFICULTIES:**

Notwithstanding anything contained in this ordinance, the Vice-Chancellor may take such measures, as may be necessary, in accordance with provisions of UGC (Minimum Standards and procedure for Award of M.Phil/Ph.D. Degrees) Regulation, 2016.

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**Appendix-I**  
**Format For The Thesis**  
**(As a Part of Ordinance)**

1. **शोध प्रबंध का मुख्य पृष्ठ :**
  - (क) शोध-प्रबंध का शीर्षक क्रमशः हिंदी एवं अंग्रेजी में
  - (ख) पी-एच.डी. उपाधि हेतु प्रस्तुत शोध-प्रबंध
  - (ग) शोधार्थी एवं शोध-निर्देशक का नाम
  - (घ) विश्वविद्यालय का 'लोगो'
  - (ङ) प्रस्तुति वर्ष
  - (च) विभाग एवं विश्वविद्यालय का क्रमशः पूरा नाम
2. **शोध-प्रबंध के मुख्य पृष्ठ हेतु प्रस्तावित रंग:** गहरा नीला
3. **शोध-प्रबंध प्रस्तुतीकरण :** (क) संदर्भ पद्धति: APA (ख) Kokila/Arial Unicode  
(ग) फॉन्ट साइज- 16 (घ) दो लाइनों के बीच की दूरी – 1.5
4. **शोध-प्रबंध का प्रस्तावित प्रारूप :**
  - i) घोषणा-पत्र / प्रमाणपत्र (शोधार्थी एवं निर्देशक की ओर से एक ही होगा।)
  - ii) भूमिका
  - iii) विषयानुक्रमणिका
  - iv) संक्षिप्ताक्षर (यदि आवश्यकता हो)
  - v) अध्यायवारमूल अतर्वस्तु
  - vi) संदर्भ सूची
  - vii) परिशिष्ट (यदि आवश्यकता हो)

Appendix-II  
Format For The Evaluation Of The Thesis  
पी-एच.डी. उपाधि हेतु प्रस्तुत शोध प्रबंध पर परीक्षक प्रतिवेदन

EXAMINER'S REPORT ON THE THESIS SUBMITTED FOR THE PH.D. DEGREE

(परीक्षा विभाग द्वारा भरा जाएगा/ To be filled by Examination Department)

1. शोधार्थी का नाम : .....  
NAME OF THE SCHOLAR .....
  2. विश्वविद्यालय पंजीयन संख्या और वर्ष : .....  
UNIVERSITY REGN. NO. & YEAR .....
  3. पी-एच.डी. पंजीयन संख्या और वर्ष : .....  
PH.D. REGISTRATION NO. & YEAR .....
  4. विभाग / संकाय .....  
DEPARTMENT/SCHOOL  
(FACULTY) .....
  5. पूर्व पी-एच.डी. कोर्स वर्क: .....  
PRE PH.D. COURSE WORK .....
  6. शोध प्रबंध का शीर्षक : .....  
.....  
.....  
TITLE OF THE THESIS .....
  7. शोध निर्देशक का नाम : .....  
NAME OF THE SUPERVISOR .....
- परीक्षक द्वारा भरा जाएगा / To be filled by the Examiner
8. शोध प्रबंध परीक्षक का नाम और पता : .....  
NAME OF THE THESIS EXAMINER WITH ADDRESS .....
  9. परीक्षक द्वारा शोध प्रबंध प्राप्ति की तिथि: .....



**DATE OF RECEIPT OF THE THESIS BY THE EXAMINER**

**REPORT / रिपोर्ट**

i) सामान्य अभिमत: .....

**General Observation**

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ii) शोध प्रबंध का उल्लेखनीय पक्ष: .....

**Strengths of the Thesis**

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iii) शोध प्रबंध के दुर्बल पक्ष : .....

**Weaknesses of the Thesis**

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**EVALUATION OF THE THESIS / शोध प्रबंध का मूल्यांकन**

(परीक्षकों से अनुरोध है कि बिंदुक्रमांक 01 से 09 तक के लिए क्रेडिट आबंटन भी करें। क्रेडिट विवरण की तालिका\* मूल्यांकन प्रपत्र के अंत में दी गयी है।)

- i) शोध समस्या की सार्थकता : .....  
**Relevance of the research problem:**  
.....
- ii) साहित्य-पुनरवलोकन : .....  
**Review of the Literature**
- (a) प्रमुख कार्यों का उल्लेख (नये शोध कार्य सहित): .....  
**Major works, including recent in the field**  
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- (b) पूर्व के शोध कार्यों में अपर्याप्तता/कमी का उल्लेख: .....  
**Inadequacies / gaps of earlier works**  
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- (c) शोध समस्या के साथ संबंध : .....  
**Linkages with research problem**  
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.....
- iii) प्राक्कल्पना का निर्माण : .....  
**Formulation of the hypothesis**
- iv) शोध-प्रविधि/शोध-विन्यास तथा सामग्री : .....  
**Research methodology/Design and Research Material :**  
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v) विवेचन/ परिणामों का निर्वचन (**Discussion/ Interpretation of Results**)

vi) अभिकल्पना परीक्षण और प्राप्त अनुमान (**hypothesis testing and inferences drawn**):

vii) शोध प्रबंध की गुणवत्ता एवं वस्तुनिष्ठता :

**Quality and objectivity of the thesis**

- |   |                             |
|---|-----------------------------|
| ● विषय वस्तु का संगठन :   | अच्छा/ बहुत अच्छा/ उत्कृष्ट |
| Organization of contents:   | Good/ Very good/ Excellent  |
| ● भाषा की संगति :   | अच्छा/ बहुत अच्छा/ उत्कृष्ट |
| Language coherence:   | Good/ Very good/ Excellent  |
| ● ग्राफ/तालिकाओं/ चित्रों इत्यादि द्वारा परिणामों का प्रदर्शन (यदि संगत हो):              | अच्छा/ बहुत अच्छा/ उत्कृष्ट |
| Presentation of results through graphs/ tables/equations/histograms etc:<br>(if relevant) | Good/ Very good / Excellent |
| ● संदर्भों/ग्रंथ सूचियों का सुव्यवस्थापन और प्रारूप :                                     | अच्छा/ बहुत अच्छा/ उत्कृष्ट |
| Format and arrangement of references/bibliography:  | Good/ Very good/ Excellent  |

viii) शोध प्रबंध की भाषा एवं प्रस्तुति (शोध संदर्भ सहित)  
**(Language and presentation of thesis (including references):**

ix) शोधार्थी के प्रकाशित शोध पत्रों की शोध समस्या के संदर्भ में प्रासंगिकता :

**Relevance of the published research papers of the candidate with the problem**

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x) जर्नल/पुस्तक रूप में शोध प्रबंध के प्रकाशन की संभावना तथा एतदर्थ सुझाव :

**Publication Potential in quality journals or as book of the thesis as such and suggestions to that end:**

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xi) शोध प्रबंध में परिष्कार की आवश्यकता तथा तत्संबंधी सुझाव :

**Need for modifications in the Thesis and related suggestions :**

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#### **RECOMMEDATIONS / अनुशंसाएँ**

01. वर्तमान रूप में पी-एच.डी. उपाधि प्रदान किए जाने हेतु संस्तुत

**Recommended in the present form for award of Ph.D. Degree**

या/OR

02. निर्दिष्ट संशोधनों के अनुपालन उपरान्त शोध-निर्देशक तथा विभागाध्यक्ष द्वारा प्रमाणीकरण के पश्चात पी-एच.डी. उपाधि हेतु अनुशंसित

**May be recommended for award of Ph.D. degree after suggested modifications and**

**certification by the Supervisor and Head of the Deptt.**

या/OR

03. अपेक्षित पुनरीक्षण उपरान्त मूल्यांकन हेतु पुनः प्रस्तुत करने के लिए अनुशंसित

**Recommended for resubmission after suggested revisions**

या/OR

04. अस्वीकृत:

**Rejected**

Questions for Viva-voce / मौखिकी के लिए प्रश्न

- I. ....  
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- II. ....  
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- III. ....  
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- IV. ....  
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- V. ....  
.....

परीक्षक के हस्ताक्षर

दिनांक : .....  
स्थान : .....

नाम (हिंदी में).....  
(अंग्रेजी में): .....  
पता : .....  
.....  
.....  
फोन/मोबाइल : .....  
ई-मेल : .....

\*आवश्यकतानुसार विस्तृत अभिमत हेतु अतिरिक्त पृष्ठ उपयोग में लाये जा सकते हैं।  
(Additional sheets may be used for the detailed report, if required.)

\* शोधार्थी को पी-एच.डी. विश्वविद्यालय द्वारा निर्धारित न्यूनतम क्रेडिट अर्जित करने पर ही दी जाएगी।

\*क्रेडिट विवरण की तालिका

शोध प्रबंध मूल्यांकन हेतु कुल क्रेडिट: 60

विवरण	क्रेडिट
बिंदु क्रमांक - i से iii	10
बिंदु क्रमांक- iv	20
बिंदु क्रमांक- v से vi	10
बिंदु क्रमांक- vii	10
बिंदु क्रमांक- viii	5
बिंदु क्रमांक- ix	5