



Mahatma Gandhi Antarrashtriya Hindi Vishwavidyalaya

Ordinance No. 45/2020

Modified as per UGC (Minimum standard and procedure for award of M.Phil./Ph.D. Degrees) Regulation, 2016
(Approved by Academic Council in its 32nd Meeting held on 27.04.2020)

Doctor of Philosophy (Ph.D.)

DEFINITIONS:

Subject to the provisions of The Mahatma Gandhi Antarrashtriya Hindi Vishwavidyalaya Act, 1996 (No. 3 of 1997) (hereinafter referred to as MGAHV Act of 1996), and the Statutes and Ordinances passed there under:

1. 'Academic Council' means the Academic Council of Mahatma Gandhi Antarrashtriya Hindi Vishwavidyalaya (hereinafter referred to as the University), as explained under Section-22 of the MGAHV Act of 1996 and Clause-15 of THE STATUTE attached to the Act, and shall have the same meaning as 'ACADEMIC COUNCIL'.
2. 'Board of Studies' (BoS) means Board of Studies of the concerned Department/Centre, as explained under Clause-17 of THE STATUTE attached to MGAHV Act of 1996.
3. 'Candidate' means a person who applies for the Ph.D. Programme as per this Ordinance.
4. 'Co-Supervisor' means the second supervisor as mentioned in para-9 of this Ordinance.
5. 'Examiner' means an expert for evaluation of the thesis nominated by the Vice Chancellor from the panel of examiners.
6. 'Open Viva-voce' means Viva-voce of the candidate to be conducted after submission and satisfactory report of the thesis and before the award of the Ph.D. degree, and which may be witnessed by anyone in the University.
7. 'Panel of Examiners' means a set of six examiners proposed by the supervisor for evaluation of the thesis.
8. 'Ph.D.' means Doctor of Philosophy.
9. 'Pre-Ph.D. Programme' means a course-work to be pursued prior to the pre-registration seminar by the candidates who do not hold an M.Phil. Degree.
10. 'Pre-registration Seminar' includes a written submission and presentation on the research proposal, to be given by the candidate before registration of the topic.
11. 'Pre-submission Seminar' includes a written submission and presentation based on findings of the research work to be given by the research scholar before submission of the thesis.
12. 'Departmental Research Monitoring Committee' (DRMC) means 'Departmental Research Monitoring Committee as mentioned in **Para- 14.2** of this Ordinance.
13. 'Research Scholar' means the candidate who has been granted admission to the Ph.D. Programme and thereafter registered for the same.

14. 'Review of Literature' means study, review and analysis of the literature or material related to the field of research of the research scholar.
15. 'School Board' (SB) means the School Board of each School of the University.
16. 'Supervisor' means the principal supervisor who monitors and guides the candidate.

PROVISIONS:

1. Ph.D. PROGRAMME:

- 1.1. The Doctoral (Ph.D.) Programme shall be a regular and residential programme.
- 1.2. Notwithstanding anything contained in this Ordinance, the University shall not conduct Ph.D. Programmes through distance education mode.
- 1.3. Ph.D. programme is for 100 credits (excluding the Course Work). Distribution of credits is as below:
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|---|---|-------------------------|
| a. Thesis Writing | : | 80 credits |
| b. Viva-Voce | : | 20 credits |
| c. Research Associate ship }
Teaching Associate ship } | : | 10 credits (Additional) |

(Awarded by supervisor and HoD jointly; obtaining 50% credit score shall be compulsory)

2. ELIGIBILITY:

- 2.1. Master's degree or a professional degree declared equivalent to the Master's degree (in concerned subjects) by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled (Divyang) and other categories of candidates as per the decision of the University Grants Commission (UGC) from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based on merit of entrance exam without including the grace mark procedures.
- 2.2. A person pursuing M.Phil. Course in this University whose dissertation has been submitted and the result or viva-voce is pending may be admitted to the Ph.D. Programme of this University.
- 2.3. Candidates possessing a Degree considered equivalent to M.Phil. Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. Programme.
- 2.4. Upper age limit shall be 35 years for admission to Ph.D. Programme. Relaxation in this upper age limit may be granted to the reserved category candidates as per rules of the Govt. of India/UGC.

3. ADMISSION PROCESS:

- 3.1. Candidates for Ph.D. Programme shall be admitted through an Entrance Test.
- 3.2. Predetermined and Manageable number of seats for Ph.D. shall be decided on an annual basis (in accordance with clause no. 6.2) through academic bodies depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the candidate-teacher ratio, laboratory. Library and such other facilities; the seats advertised shall be in accordance with specialization of the supervisors and/or the thrust area of the Department/Centre concerned.
- 3.3. Number of seats (with reservation break-up) shall be notified well in advance at the University website and through advertisement in maximum number of newspapers, out of which minimum one newspaper must be Hindi national daily and one Marathi (the regional language where the university is situated). The advertisements shall also include the number of seats for admission, subject/discipline-wise distribution with specialization/thrust area for available seats, criteria and procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.

4. ENTRANCE TEST:

Candidates shall be admitted in Ph.D. Programme by a three stage admission process.

4.1 Stage- I: Screening

- (a) Screening of applications shall be done by Departmental Admission Committee as given below:
- i. Head of the Department/Director of the Centre : Chairperson
 - ii. All Faculty members of the Department : Member
 - iii. One SC/ST nominee (if not represented) nominated by the Vice-Chancellor : Member
- (b) The Screening Committee shall examine minimum qualifications of the candidates as prescribed under clause-(2).

4.2 Stage- II: Written Test

The total marks for written test shall be 100. Qualifying marks shall be 50% in the written test (45% for SC/ST/OBC-NCL/PWD). The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The pattern of written test may be as adopted by the University for Semester Examinations or approved by Academic Council of the University.

The Entrance Test shall be conducted at the centre(s) notified in advance. Change of centres, if any, shall also be notified well in advance.

4.3 Stage- III: Interview

- (a) Interview of 25 Marks shall be conducted by the Central Admission Committee. The constitution of committee shall be as given below:
- i. Chairperson (Nominated by the Vice Chancellor)
 - ii. Head of the Department/Director of the Centre : Member
 - iii. One senior faculty member who is a research supervisor nominated by the Vice Chancellor : Member
 - iv. One SC/ST nominee nominated by the Vice-Chancellor : Observer

4.4 Preparation of Merit List for Admission

- a) The Merit List for Admission to Ph.D. Programme shall be prepared based on marks obtained by the candidate in written test and interview by the Examination Department.
- b) Admission of the candidate shall be provisional till the date of registration.
- c) The date of joining shall be the date of admission.

5. MAINTENANCE OF THE LIST OF RESEARCH SCHOLARS:

The University shall maintain the list of all the Ph.D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of her/his research, name of her/his supervisor/co-supervisor, date of enrolment/registration and year (with date) of award.

6. RESERVATION:

6.1 The guidelines of the University Grants Commission (UGC) and the directions of the Ministry of Human Resource Development (MHRD) issued from time to time with regard to reservation for SC / ST / OBC (NCL) / PWD ('Divyang') in Ph.D. admissions shall be applicable automatically. Presently-

- (a) The reservation applicable for SC/ST/OBC (NCL)/EWS is fifteen percent (15%), seven point five percent (7.5%), twenty seven percent (27%) and ten percent (10%) respectively.
- (b) The reservation for persons with benchmark disabilities shall be (5%) five percent. The seats for PWD shall be allotted from their respective categories. Interchangeability of seats shall be permitted within PWD category only.

6.2 Percentage of reservation shall be applied on total number of seats in the Department/Centre or Faculty and vacant seats shall be advertised accordingly.

6.3 Interchangeability of seats among SCs and STs shall be applicable as per rules.

6.4 In case no eligible candidate from the reserved categories is available, the vacant seats in the reserved quota shall not be filled by any non SC/ST & OBC candidates.

7. DURATION:

7.1. Ph.D. Programme shall be for a minimum duration of Three (3) years, including course work, and a maximum of Six (6) years.

7.2. The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of Two (2) years in the maximum duration for Ph.D.

8. COURSE WORK: Credit requirements, number, duration, syllabus, minimum standards for completion, etc.:

8.1 The total credits assigned to the Ph.D. course work shall be 16 credits. However any Department/Centre of the university may opt a course of four additional credits.

8.2 Duration of course work shall be one semester.

8.3 The course work shall be treated as prerequisite for Ph.D. Programme. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be

advanced level courses preparing the students for Ph.D. degree. credit distribution of Course Work shall be as follows:

i. Fundamentals of Research Methodology	:	02 credits
ii. Research and Publication Ethics (RPE)	:	02 credits
iii. Discipline Specific Research Methodology	:	04 credits
iv. Computer Applications	:	04 credits
v. Subject Specific Paper	:	04 credits

Total: 16 credits

Interdisciplinary Course (As per clause-8.1)	:	04 Credits
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8.4 All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods.

8.5 All candidates admitted to the Ph.D. Programmes, except those having successfully completed M.Phil. Course, shall be required to complete the Ph.D. course work prescribed by the Department/Centre for the registration.

8.6 The aforesaid Ph.D. course work shall be required to be completed within initial two semesters.

8.7 A Ph.D. candidate has to obtain a minimum of 55% of marks or its equivalent grades in the course work in order to be eligible to continue in the programme and submit the thesis

9. RESEARCH SUPERVISOR:

9.1 The Board of Studies of the Department/Centre shall have power to recognize a regular teacher of the university as Research Supervisor:

- a) Any regular Professor of the University with at least 05 (Five) research publications in refereed/UGC approved journals in concerned disciplines and any regular Associate/Assistant Professor of the university with Ph.D. degree and at least (2) two research publications in refereed/UGC approved journals in concerned discipline may be recognized as Research Supervisor.
- b) Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- c) Only a full time regular teacher of the concerned Department/Centre of the University can act as a supervisor. The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the University or from other related institutions in consultation with the Department Research Monitoring Committee. (DRMC)

9.2 The allocation of Research Supervisor for a selected candidate shall be made by the BoS concerned.

9.3 In case of topics which are of inter-disciplinary nature where the BoS concerned is of the opinion that the expertise in the Department has to be supplemented from outside. The BoS may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor and a Co-Supervisor from outside the Department/School/ University on such terms and conditions as may be specified and agreed upon by the consenting Institution/University.

10. NUMBER OF Ph.D. SEATS UNDER A SUPERVISOR:

A Research Supervisor/Co-Supervisor who is a Professor, at any given point of time, can guide up to eight (8) Ph.D. Research Scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D. Research Scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. Research Scholars.

11. VACANCY OF SEATS:

After submission of the thesis by the research scholar or de-registration of research scholar or cancelation of the Ph.D., the concerned seat shall be treated as vacant.

12. TRANSFER OF A CANDIDATE AND DATA:

In case of relocation of a Ph.D. woman candidate due to marriage or otherwise, the research data shall be allowed to be transferred to the University/Institution to which the candidate intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The candidate has to however give due credit to the parent supervisor and the institution for the part of research already done.

13. CHANGE OF SUPERVISOR:

The BoS shall have the power to change Supervisor:

- a) Under extra-ordinary circumstances the BoS may change the supervisor of the research scholar on the recommendation of the Departmental Research Monitoring Committee (DRMC).
- b) However, change of Supervisor shall not be permitted after the completion of one year from the date of registration.
- c) If the performance of a research scholar is not good in view of the supervisor, she/he may recommended to the BoS through HoD/DoC for change of supervisor of the Research Scholar within one year of registration with reasons in writing.

14. RESEARCH COMMITTEES:

14.1 THE BOARD OF STUDIES (BoS):

There shall be a Board of Studies for every Department/Centre of the University as given below:

- i. Head of the Department/Director of the Centre concerned : Chairperson
- ii. All Professors of the Department/Centre : Member
- iii. One Associate Professor from the Department/Centre by rotation according to seniority : Member
- iv. One Assistant Professor from the Department/Centre by rotation according to seniority : Member
- v. One Alumni from the Department/Centre from the panel consisting of minimum two members submitted by the HoD/DoC and nominated by the Vice-Chancellor : Member
- vi. Two external subject experts from the panel consisting of five members submitted by the HoD/DoC and nominated by the Vice-Chancellor : Member

** The tenure of the members (iii to vi) shall be for a period two years. Three members shall form a quorum out of which one external member shall be compulsory.*

14.1.1 FUNCTIONS OF THE BOARD OF STUDIES (BoS):

- a) To recognize and to appoint Research Supervisors.
- b) To approve Research Topics.
- c) To change/modify the Research Topic.
- d) To take necessary measures for development of research in the Department/Centre.
- e) Meeting of the BoS shall be held twice in an academic year in the months of **August and February**.

14.2 THE DEPARTMENTAL RESEARCH MONITORING COMMITTEE (DRMC):

There shall be a Departmental Research Monitoring Committee to monitor the research work of the Research Scholar as given below:

- i. The HoD : Chairperson
- ii. All Research Supervisors in the Department concerned : Members

14.2.1 FUNCTIONS OF DRMC

- a. DRMC meeting shall be held once in three months. There shall be no gap of more than five months between two consecutive meetings.
- b. Prior information about the meeting of DRMC shall be given to the concerned Dean of the School in advance.
- c. A research scholar shall appear before the Departmental Research Monitoring Committee once in three months to make a presentation of the progress of her/his research work for evaluation and further guidance.
- d. In case of the progress of the research scholar is unsatisfactory, the Departmental Research Monitoring Committee shall record the reasons and suggest corrective measures. If the research scholar fails to implement these corrective measures within six (06) months, the DRMC may recommend to the Board of Studies for cancellation of the registration of the research scholar with specific reasons.

14.3 THE SCHOOL BOARD (SB):

Every school shall have a School Board as given below:

- i. Dean of the School : Chairperson
- ii. All HoD/DoC's of the School : Member
- iii. All Professors of the School : Member
- iv. One Associate Professor from each of the Departments/
Centres by rotation according to seniority : Member
- v. One Assistant Professor from each of the Departments/
Centres by rotation according to seniority : Member
- vi. One Alumni from the same school from the panel consisting of
minimum two members submitted by the Dean of the School and
nominated by the Vice-Chancellor : Member
- vii. Two external subject experts from the panel consisting of five members
submitted by the Dean of the School and nominated by the Vice-Chancellor : Member

(The tenure of the members (iv to vii) shall be for a period of two years. Three members shall form a quorum out of which one external member shall be compulsory.)*

14.3.1 FUNCTIONS OF SCHOOL BOARD:

With overall administration of the school, functions of the School Board shall be:

- a. To monitor all research and academic activities of the school.
- b. To review the decisions of BoS and DRMC.
- c. To frame the policies for development of research in the school.
- d. Meeting of SB shall be held twice in an academic year in the months of **September and March**.

15. RESIDENCY PERIOD, ATTENDANCE AND MONITORING:

- 15.1 (a) Ph.D. Research Scholars shall be required to be present as resident in the University for not less than three years to pursue full time research from the date of admission.
- (b) The presence of research scholars in the University shall be duly recorded and maintained by the Department/Centre concerned. Seventy five percent attendances for all research scholars including JRF/RGNF etc. is compulsory for continuation of Ph.D. and payment /recommendation of any type of fellowship.
- (c) The monthly attendance of the research scholar shall be counted on 20th date of every month for the payment /recommendation of fellowship.
- (d) Ph.D. Research Scholars shall not be permitted to undertake any full time employment during residency period. However, if they attend or need to join any internship or training programme with due permission of the University, they may be allowed to get the remuneration or any type of payment in addition to fellowship.
- 15.2. (a) After completion of residency period research scholar may be permitted to take de-registration and she/he shall be allowed to submit Ph.D. thesis within a period of 10 years from the date of admission. Such seats shall be treated as vacant from the date of de-registration.
- (b) For the de-registration research scholar shall submit an application to BoS concerned through the supervisor.
- (c) The de-registered research scholar shall have to submit an application within prescribed period for re-registration and submission of thesis. The re-registered research scholar shall have to submit her/his thesis for evaluation within two semesters of re-registration.

16. LEAVE:

- 16.1 (a) A Ph.D. scholar may avail maximum 30 days of general leave (including medical leave) in a year, in addition to the general leave, academic leave for research field work, seminar, workshop, training programme etc. may also be granted based on the recommendation of the Supervisor concerned.
- (b) If any research scholar avails more than 30 days of general leave, she/he shall be treated as absent for the excess period of leave availed. In such cases BoS may grant 10 days of additional

general leave on the application of research scholar recommended by the Supervisor, if the case seems genuine in its opinion. Beyond 10 days of excess general leave, no general leave shall be permitted in any case and the admission/registration of the research scholar shall be automatically cancelled.

- (c) In case, the supervisor is not allotted to a research scholar, all the applications of research scholar shall need to be recommended by the HoD/DoC.

16.2 The women candidates may be granted Maternity Leave/Child Care Leave once in the entire duration of M.Phil./Ph.D. up to 240 days. However, amendments made by the Govt. of India in respect of Maternity Leave/Child Care Leave shall be applicable automatically.

16.3 Any kind of fellowship and Ph.D. admission of research scholar is liable to be cancelled/legal action against the research scholar in the following cases:

- a) If the research scholar is found to be ineligible to be admitted to Ph.D. programme and to receive the fellowship, at any point of time during the entire duration of the programme and fellowship.
- b) Misconduct of research scholar, such as proven defamation against supervisor concerned and other faculty and authorities of the University; complaint to higher authorities without routing through proper channel - HoD, Dean and Vice Chancellor.
- c) Unsatisfactory progress of research work.
- d) More than one fellowship or any other income is drawn simultaneously.
- e) Concealment of facts and any other ground of misconduct. As per clause 16.1 (b) of this Ordinance.

17. FELLOWSHIP:

17.1 Ph.D. research scholars will be provided fellowship as per guidelines of the UGC/funding agencies.

17.2 Non-NET fellowship shall commence from the date of admission.

17.3 The research scholar shall have to submit a declaration at the time of admission that if she/he does not complete/submit her/his research work to the University for the Award of degree or leaves the programme, shall have to return total amount of fellowship received from the University. The University shall ensure the measures to recover the amount.

18. RESEARCH PROPOSAL, PRE REGISTRATION SEMINAR AND REGISTRATION OF RESEARCH TOPIC:

18.1 (a) Upon satisfactory completion of course work, the candidate shall present her/his research proposal in pre-registration seminar before the BoS of the department/Centre, the second meeting of the academic session in which the candidate has been given provisional admission.

(b) Candidate with M.Phil. degree shall present her/his research proposal in pre-registration seminar before the BoS of the department/Centre in the first meeting of the academic session in which the candidate has been given provisional admission.

18.2 Upon satisfactory completion of course work, the candidate with M.Phil. degree or without M.Phil. degree shall present her/his research proposal in pre-registration seminar before the BOS of the department/Centre, the meeting of which shall be held in the month of February of the academic session in which the candidate has been given provisional admission.

- 18.3 After approval of the research topic and supervisor of the candidate by the BoS, the candidate shall be registered for the Ph.D. programme.
- 18.4 The date of approval of BoS shall be regarded as the date of registration.
- 18.5 If a candidate fails to satisfy BoS she/he may be given another opportunity to submit research proposal before the BoS of the Department/Centre, the meeting of which shall be held in the month of August of the next academic session.
- 18.6 If BoS finds the candidate's research proposal is non-satisfactory, it shall provide to the candidate suggestions/advice in written.
- 18.7 If the candidate fails to satisfy BoS even in her/his second presentation, her/his provisional admission shall automatically be cancelled, as it is regarded as unsatisfactory work. In this case BoS needs to mention in the minutes its dissatisfaction over following areas:-
- i. Identification of research problem and methodology.
 - ii. Presentation of research proposal.
 - iii. Review of the Literature.

19. CHANGE OF RESEARCH TOPIC:

The BoS shall have the power to change the topic of research:

Under extra-ordinary circumstances the BoS may change the topic of research on request of the research scholar and the consent of the supervisor with duly recorded reasons in writing. The concerned topic may be changed within one year from the date of the registration of topic. The final approval of the research topic shall be given at the time of pre-submission.

20 . PRE-SUBMISSION SEMINAR:

- 20.1 After completion of research work, prior to a maximum period of three (03) months of submission of the thesis, the candidate shall make a presentation based on the findings of her/his research work before the DRMC which shall also be open to all faculty members and other research scholars of the University. The feedback and suggestions made by the DRMC in writing shall suitably be incorporated into the draft thesis.
- 20.2 The supervisor concerned shall submit a certificate to DRMC regarding incorporation of suggestions/modification in the draft thesis.
- 20.3 If the DRMC is not satisfied with the presentation made by the research scholar and with quality of the research work, it shall record reasons and the research scholar may be asked to make presentation again within two months before the DRMC.

21. SUBMISSION OF THE THESIS:

- 21.1 Ph.D. Research Scholars must publish at least two research papers in refereed journals/UGC approved journals and make two paper presentations in conferences/seminars before the submission of the thesis for adjudication, and produce evidence for the same in the form of presentation certificates and reprints.
- 21.2 The Ph.D. thesis submitted by the scholar shall satisfy the requirement that it is a research work characterized by either the discovery of new facts or by fresh interpretation of facts or theories. It should reveal the scholar's capacity for critical examination, original thinking and critical judgment. Its literary presentation should also be satisfactory. The thesis must be submitted in the format prescribed by the University.

- 21.3 The thesis shall include a certificate in the format prescribed by the University from the Supervisor and the scholar that it incorporates the scholar's bona-fide research work and it has not been submitted for award of any other degree to this or to any other University or any Institute of learning.
- 21.4 The Academic Council of the University shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other Degree/Diploma of the same University where the work was carried out, or to any other Institution.
- 21.5 The Research Scholar is required to submit thesis in following manner:-
- a. Four copies with hard binding and soft copies in CDs.
 - b. Four copies of the summary containing findings of research work presented in the thesis.
 - c. Certificates as provided in the appendix.
- 21.6 The HoD/DoC shall forward the thesis to the Department of Examinations for evaluation within one week of the submission of the thesis.

22. EVALUATION OF THE THESIS & VIVA-VOCE:

- 22.1 The Ph.D. thesis submitted by a research scholar shall be evaluated by two external examiners, who are not in employment of the University, of whom one examiner may be from outside the country.

For the purpose of appointing the said two external examiners, a list of six proposed examiners (with their contact number, e-mail ID & Postal address), who have expertise in the field associated with the Ph.D. research topic shall be submitted by the concerned Supervisor to the Head of the concerned Department/Director of the Centre within one week after successful completion of the pre submission seminar. The list of proposed examiners shall be forwarded by the HoD/DoC concerned to the Department of Examinations within one week of the receipt of the said list. The list of proposed examiners shall be forwarded by the Controller of Examinations to the Vice Chancellor within two weeks of the receipt of the said list.

The Vice Chancellor shall appoint two examiners for evaluation of the Ph.D. thesis, out of the aforesaid list of the proposed examiners.

- 22.2 The open viva-voce of the research scholar to defend the thesis shall be conducted only if the evaluation reports of both the external examiners on the thesis are satisfactory. The degree of the Ph.D. shall be awarded only after successful completion of viva-voce.
- 22.3 If one of the evaluation reports of the external examiner is unsatisfactory, then University shall send the thesis to a third external examiner and the viva-voce examination shall be held only if the report of such third examiner is satisfactory. If the report of such third examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.

- 22.4 The third examiner for evaluation of the Ph.D. thesis, if necessary as aforesaid, shall be appointed by the Vice Chancellor, from the list of the six examiners originally proposed.
- 22.5 If any external examiner suggests modifications in the thesis the same shall be sent to the same examiner after modifications.
- 22.6 (a) The viva-voce examination shall be conducted by the Viva-Voce Board which shall consist of the following members:
- i. The Head of the Department/Director of the Centre : Chairperson
 - ii. The concerned supervisor : Member
 - iii. One of the External examiners, who has evaluated the Ph.D. thesis : Member
- (b) For this purpose the list of the two evaluators shall be forwarded by the Controller of Examinations to the Vice Chancellor, who shall appoint one of the evaluator, with her/his consent, to be on the Viva-voce Board.
- 22.7 After the constitution of Viva-Voce Board it shall be communicated by the Controller of Examinations to the Head of the concerned Department (HoD)/Director of the concerned Centre (DoC), who shall complete other formalities including fixing the date for the conduct of the Viva-voce *Examination* in consultation with the other members of the Viva- voce Board. Such date for the conduct of the aforesaid examination shall be finalized within one month of the receipt of the final list of the members of the Viva-Voce Board by the concerned HoD/DoC.
- 22.8 The Viva-Voce examination shall be open to be attended by all faculty members of the Department/Centre, Research Scholars and other interested faculty members, experts/researchers of the university and outside the University.
- 22.9 The report of the Viva-Voce Examination shall be forwarded by the HoD/DoC to the Controller of Examinations on the same/next working day of the conduct of the Viva-Voce Examination. The result of such Viva-Voce Examination shall be declared by the Controller of Examinations within one week of the receipt of such report.
- 22.9.1 The scholar shall be provided the reports of the thesis if there are any corrections or modifications suggested.
- 22.9.2 If a research scholar does not secure minimum fifty five percent of total credits, she/he shall not be awarded the Ph.D. degree.

23. LANGUAGE:

- 23.1 The Language of the thesis and summary shall be 'Hindi' written in Devnagari script.
- 23.2 The summary of the thesis may be submitted in English also.

24. Award of Ph.D. degrees or degrees awarded by foreign Universities prior to Notification/implementation of UGC (Minimum standard and procedure for award of M.Phil./Ph.D. Degrees) Regulation, 2016

- 24.1 Award of Degrees to the Research Scholars registered for the Ph.D. Programme on or after July 11, 2009 till the date of implementation of the *UGC (Minimum standard and procedure for award of M.Phil./Ph.D. Degrees) Regulation, 2016* by the University shall be governed by the

provisions of the UGC (*Minimum Standards and procedure for Awards of M.Phil./Ph.D. Degree*) Regulation, 2009.

24.2 If the Ph.D. degree is awarded by a Foreign University, the University considering such a degree shall refer the issue to the Equivalence Committee constituted by the University, for the purpose of determining the equivalence of the degree awarded by the foreign University.

24.3 Prior to the actual award of the degree, University shall issue a provisional certificate to the effect that the Degree has been awarded in accordance with the provisions of UGC (*Minimum standard and procedure for award of M.Phil./Ph.D. Degrees*) Regulation, 2009/2016 (whichever is applicable).

25. The University shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of submission of the thesis.

26. The University shall develop a proper format for the evaluation of the thesis by the examiner(s).
(See appendix – II)

27. DEPOSITORY WITH INFLIBNET:

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the University shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET for hosting the same so as to make it accessible to all Institutions/Colleges.

28. APPLICABILITY OF THIS ORDINANCE:

This ordinance shall replace all the earlier ordinances and shall be applicable from the date of the approval of Academic Council.

29. REMOVAL OF DIFFICULTIES:

Notwithstanding anything contained in this ordinance, the Vice Chancellor may take such measures, as may be necessary, in accordance with provisions of UGC (Minimum Standards and procedure for Award of M.Phil./Ph.D. Degrees) Regulation, 2016

Appendix-I
Format for the Thesis
(As a Part of Ordinance)

1. शोध-प्रबंध का मुख्य पृष्ठ:

- (क) शोध-प्रबंध का शीर्षक क्रमशः हिंदी एवं अंग्रेजी में
- (ख) पी-एच.डी. उपाधि हेतु प्रस्तुत शोध-प्रबंध
- (ग) शोधार्थी एवं शोध-निर्देशक का नाम
- (घ) विश्वविद्यालय का 'लोगो'
- (ड.) प्रस्तुति वर्ष
- (च) विभाग एवं विश्वविद्यालय का क्रमशः पूरा नाम

2. शोध-प्रबंध के मुख्य पृष्ठ हेतु प्रस्तावित रंग: गहरा नीला

3. शोध-प्रबंध प्रस्तुतीकरण:

- (क) संदर्भ पद्धति : APA
- (ख) Kokila/Arial Unicode
- (ग) फॉन्ट साइज-16
- (घ) दो लाइनों के बीच की दूरी 1.5

4. शोध-प्रबंध का प्रस्तावित प्रारूप:

- i) घोषणा-पत्र/प्रमाणपत्र (शोधार्थी एवं निर्देशक की ओर से एक ही होगा)
- ii) भूमिका
- iii) विषयानुक्रमणिका
- iv) संक्षिप्ताक्षर (यदि आवश्यकता हो)
- v) अध्यायवार मूल अंतर्वस्तु
- vi) संदर्भ सूची
- vii) परिशिष्ट (यदि आवश्यकता हो)

Appendix-II
PART-I (भाग-1)

Format for the evaluation of the Thesis

पी-एच.डी. उपाधि हेतु प्रस्तुत शोध प्रबंध पर परीक्षक प्रतिवेदन

EXAMINER'S REPORT ON THE THESIS SUBMITTED FOR THE PH.D. DEGREE

(परीक्षा विभाग द्वारा भरा जाएगा/ *To be filled by Examination Department*)

1. शोधार्थी का नाम :
NAME OF THE SCHOLAR:
2. विश्वविद्यालय पंजीयन संख्या और वर्ष :
UNIVERSITY REGN. No. & YEAR:
3. विभाग एवं विद्यापीठ :
DEPARTMENT & SCHOOL:
4. पूर्व पी-एच.डी. कोर्स वर्क :
PRE PH.D. COURSE WORK:
5. शोध प्रबंध का शीर्षक :
.....
.....
TITLE OF THE THESIS:
.....
.....
6. शोध निर्देशक का नाम :
NAME OF THE SUPERVISOR:

परीक्षक द्वारा भरा जाएगा / *To be filled by the Examiner*

7. शोध प्रबंध परीक्षक का नाम और पता:
.....
.....
NAME OF THE THESIS EXAMINER WITH ADDRESS:
.....
.....
8. परीक्षक द्वारा शोध प्रबंध प्राप्ति की तिथि :
DATE OF RECEIPT OF THE THESIS BY THE EXAMINER:

PART-II (भाग-2)

REPORT / रिपोर्ट

i) सामान्य अभिमत (General Observation):

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ii) शोध प्रबंध का उल्लेखनीय पक्ष (Strengths of the Thesis):

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iii) शोध प्रबंध के दुर्बल पक्ष (Weaknesses of the Thesis):

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iv) जर्नल/पुस्तक रूप में शोध प्रबंध के प्रकाशन की संभावना तथा एतदर्थ सुझाव [Publication Potential of the thesis as such or in quality journals/book and suggestions to that end]:

v) शोध प्रबंध में परिष्कार की आवश्यकता तथा तत्सम्बंधी सुझाव [Need for modification in the Thesis and related suggestions]:

PART-III (भाग-3)

VALUATION OF THE THESIS / शोध प्रबंध का मूल्यांकन

(परीक्षकों से अनुरोध है कि क्रमांक i से x तक के लिए अंक आबंटन भी करें। अंक/क्रेडिट विवरण की तालिका मूल्यांकन प्रपत्र के अंत में दी गयी है।)

i) शोध समस्या की सार्थकता [Relevance of the research problem]: निर्धारित अधिकतम अंक: 50 [प्राप्तांक:]

ii) शोध प्रबंध में प्रस्तुति की स्पष्टता [Clarity of presentation in the Thesis]: निर्धारित अधिकतम अंक: 50 [प्राप्तांक:]

iii) साहित्य का पुनरवलोकन [Review of the Literature Covering]: निर्धारित अधिकतम अंक: 50 [प्राप्तांक:]

क) प्रमुख कार्यों का उल्लेख (नये शोध कार्य सहित) [Major works, including recent in the field]:

.....

ख) पूर्व के शोध कार्यों में अपर्याप्तता/कमी का उल्लेख [Inadequacies / gaps of earlier works] :

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ग) शोध समस्या के साथ संबंध [Linkages with research problem]:

.....

iv) प्राक्कल्पना का निर्माण [Formulation of the hypothesis]: निर्धारित अधिकतम अंक: 50 [प्राप्तांक:]

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v) शोध प्रविधि और सामग्री (प्रायोगिक कार्य की उपयुक्तता/ तकनीकी/प्रतिदर्श आकार, आंकड़ा-संग्रह की विधियाँ, क्रियान्वयन और विश्लेषण) [Material and Research methodology (Adequacy of experiments/techniques/sample size, methods of data collection, processing and analysis]: निर्धारित अधिकतम अंक: 200 प्राप्तांक:

.....

vi) विवेचन/ परिणामों का निर्वचन [Discussion/ Interpretation of Results]: निर्धारित अधिकतम अंक: 50 [प्राप्तांक:]

.....

vii) अभिकल्पना परीक्षण और प्राप्त अनुमान [Hypothesis testing and inferences drawn]: निर्धारित अधिकतम अंक: 50 [प्राप्तांक:]

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viii) शोध प्रबंध की गुणवत्ता एवं वस्तुनिष्ठता [Quality and objectivity of the thesis]: निर्धारित अधिकतम अंक: 100 [प्राप्तांक:]

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• विषय वस्तु का संगठन:
Organization of contents:

अच्छा/ बहुत अच्छा/ उत्कृष्ट
Good/ Very good/ Excellent

• भाषा की संगति :

अच्छा/ बहुत अच्छा/ उत्कृष्ट

Language coherence:

Good/ Very good/ Excellent

- ग्राफ/तालिकाओं/आयत चित्र इत्यादि द्वारा परिणामों का प्रदर्शन :
Presentation of results through graphs/ tables/equations/histograms etc:

अच्छा/ बहुत अच्छा/ उत्कृष्ट
Good/ Very good / Excellent

- संदर्भों/ग्रंथ सूचियों का सुव्यवस्थापन और प्रारूप :
Format and arrangement of references/bibliography:

अच्छा/ बहुत अच्छा/ उत्कृष्ट
Good/ Very good/ Excellent

नोट- 55 से 70 अंक – अच्छा (Good), 71 से 80 अंक – बहुत अच्छा (Very Good), 81 अंक से अधिक – उत्कृष्ट (Excellent)

- ix) शोध प्रबंध की भाषा एवं प्रस्तुति (शोध संदर्भ सहित):

निर्धारित अधिकतम अंक: 100 [प्राप्तांक:]

Language and presentation of thesis (including references)

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- x) शोधार्थी के प्रकाशित शोध पत्रों की शोध समस्या के संदर्भ में प्रासंगिकता:

निर्धारित अधिकतम अंक: 100 [प्राप्तांक:]

(Relevance of the published research papers of the candidate with the problem)

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PART-IV (भाग-4)

RECOMMEDATIONS/अनुशंसाएँ

1. वर्तमान रूप में पी-एच.डी. उपाधि प्रदान किए जाने हेतु संस्तुत [Recommended in the present form for award of Ph.D. Degree]:

..... या/OR

2. निर्दिष्ट संशोधनों के अनुपालन सहित अधिष्ठाता तथा शोध निर्देशक द्वारा स्वीकृति के पश्चात् पी-एच.डी. उपाधि हेतु अनुशंसित [May be recommended for award of Ph.D. degree after suggested modifications and certification by the Supervisor and Dean of the school]:

..... या/OR

3. फिर से तैयार करने तथा मूल्यांकन हेतु पुनः प्रस्तुत करने के लिए अनुशंसित [Recommended for revision and resubmission] :

..... या/OR

4. अस्वीकृत [Rejected] :

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PART-V (भाग-5)

Questions for Viva-voce / मौखिकी के लिए प्रश्न

- I.
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- II.
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- III.
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- IV.
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- V.
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(परीक्षक के हस्ताक्षर)

नाम (हिंदी/अंग्रेजी) :

पता :

फोन/मोबाइल:

ई-मेल :

नोट : आवश्यकतानुसार विस्तृत अभिमत हेतु अतिरिक्त पृष्ठ उपयोग में लाये जा सकते हैं।

(Additional sheets may be used for the detailed report, if required.)

क्रेडिट/अंक विवरण की तालिका (भाग-3)

शोध प्रबंध मूल्यांकन हेतु कुल क्रेडिट/अंक

विवरण	क्रेडिट-80	अंक (800)	प्राप्तांक
बिंदु क्रमांक- i	05	50	
बिंदु क्रमांक- ii	05	50	
बिंदु क्रमांक- iii	05	50	
बिंदु क्रमांक- iv	05	50	
बिंदु क्रमांक- v	20	200	
बिंदु क्रमांक- vi	05	50	
बिंदु क्रमांक- vii	05	50	
बिंदु क्रमांक- viii	10	100	
बिंदु क्रमांक- ix	10	100	
बिंदु क्रमांक- x	10	100	